Keystone

Portfolio Management and Accounting Solution

Keystone is a portfolio management and accounting solution that unifies all the important aspects of the client relationship into one system. Every element of Keystone is designed to help advisors build deep personal relationships with their clients and more effectively manage their portfolios.

Keystone Highlights

Actual portfolio managers' investment and relationship processes are Keystone's foundation. Keystone places your client front and center while also providing system wide functions which increase productivity, reduce risk and improve customer relationships.

Customer Centric

The entire customer relationship, including related accounts, is viewed from a central real-time dashboard. With a single click of the mouse, information ranging from contact addresses to client participants to cash and positions is available. Action plans can be set up to identify one time or recurring events including sending email reminders to selected participants.

Portfolio Management Tools

Portfolio managers can quickly implement decisions across their client portfolios based on assigned models and asset allocation strategies. Buy, Sell and Swap batch runs can process and send transactions to the appropriate custodians. A rebalancing tool automatically generates the necessary buy and sell transactions to align accounts with their model requirements. Both displays and reports show how close an account's position is to the desired model.

Trade Order Management

Trade order management capabilities are integrated into the core of Keystone. Block trades, limit orders and broker selection are all supported.

Investment Product Support

Keystone supports corporate bonds, common and preferred stock, municipal securities, exchange traded funds, treasury and agency securities, mutual funds and more.

Reporting

Client reports are created on demand or automatically generated on a specified schedule. Business owners, portfolio managers and operations personnel leverage Keystone's unified reporting to see information in a way that is important to them.

The Keystone portfolio management and accounting solution has been the underpinning of our growth for over 30 years. It has allowed us to build long lasting relationships with our clients by unifying all the elements necessary to manage their wealth.

Tim Johnson, CEO
Johnson Investment Counsel
www.johnsoninv.com

Contact us today to learn more about Keystone, the unified Portfolio Management and Accounting Solution

Keystone

Performance Measurement
Monthly, quarterly and annual account and asset class returns are available. Summary reports show the standard YTD, 1, 3, 5, 10 year format where the actual returns are compared with an index. In addition, GIPS composites are created and maintained in the system.

Multiple Custodian Support
Trades are executed through the Trade Center and sent to over 60 custodians including Schwab and Fidelity. Confirmations are received back and then system transactions are generated.

Reconciliation
Keystone provides tools to reconcile account positions and transactions to custodial records. A dashboard shows the overall picture of the reconciliation process and highlights any exceptions. Out of balance items can be reviewed and updated as necessary.

Billing
Keystone contains a unified client billing module to insure that fees are accurate and collected in a timely fashion. Both flat fee and tiered billing based upon assets under management are supported and fees can be paid by designated accounts. Fee transactions can be generated in a batch file and sent to the custodian for direct payment or an invoice is printed and mailed.

Client Portal
Keystone's web module allows clients and authorized participants to view their holdings, transaction activity and periodic statements at any time.

Cloud Based
Keystone software is accessed through a secure internet connection. You can be up and running quickly with no additional investment in hardware or technical expertise. Our team will work closely with you to perform any conversions and make sure your staff is trained and supported.

Secure
Keystone provides detailed levels of user security and access roles. Each "role" controls the permissions for that user's access to system functionality and capabilities.

Call us to learn more about how Keystone, the unified Portfolio Management and Accounting solution, can provide you the support and stability to grow your business.

Features

Keystone includes a wide range of features which add value to your firm and frees you to build your business

Asset Allocation– Create an unlimited number of models that can automatically be rebalanced based on your schedule for one account or a group of accounts.

Document Management– Attach electronic documents to a client account or asset for easy location and retrieval.

Touch Points– Document each time anyone in the company communicates with the client providing a comprehensive historical account of client interactions.

Regulatory Compliance and Quality Controls– Your Compliance personnel use fully integrated and continually updated compliance features to remain compliant with regulatory rules and guidance. You can rely upon Keystone to keep you and your client accounts in compliance:

- "Who Last Touched a transaction" – Easy to access who altered or entered a transaction.
- "Change Agent" – Quality control tool that routes activities from the first entrant to a change agent for quality control and approval.
- "Client Watch List" – Monitors client portfolios versus a list of pre-set criteria, e.g.,. excessive withdrawals, poor performance, lack of client contact, and much more.

Trust Accounting–Identify accounts as Trusts which results in additional information being maintained such as the trust type, beneficiaries and whether appropriate documents are on file. Trust activities such as maintaining principal and income balances, processing distributions and check payments are fully supported.